

Erlotinib antagonizes ABC transporters in acute myeloid leukemia

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Abbreviations: ABC, ATP-binding cassette; AML, acute myeloid leukemia; BCRP, breast cancer resistance protein; CDCFDA, 5-(and-6)-carboxy-2',7'-dichlorofluorescein diacetate; DiOC₂(3), 3,3'-diethyloxycarbocyanine iodide; DiOC₆(3), 3,3'-dihexyloxycarbocyanine iodide; EGFR, epidermal growth factor receptor; MDS, myelodysplastic syndrome; MFI, mean fluorescence intensity; MRP, multidrug resistance-associated protein; P-gp, P-glycoprotein; PI, propidium iodide

Erlotinib was originally developed as an epidermal growth factor receptor (EGFR)-specific inhibitor for the treatment of solid malignancies, yet also exerts significant EGFR-independent antileukemic effects in vitro and in vivo. The molecular mechanisms underlying the clinical antileukemic activity of erlotinib as a standalone agent have not yet been precisely elucidated. Conversely, in preclinical settings, erlotinib has been shown to inhibit the constitutive activation of SRC kinases and mTOR, as well as to synergize with the DNA methyltransferase inhibitor azacytidine (a reference therapeutic for a subset of leukemia patients) by promoting its intracellular accumulation. Here, we show that both erlotinib and gefitinib (another EGFR inhibitor) inhibit transmembrane transporters of the ATP-binding cassette (ABC) family, including P-glycoprotein (P-gp), multidrug resistance-associated proteins (MRPs) and breast cancer resistance protein (BCRP), also in acute myeloid leukemia (AML) cells that do not overexpress these pumps. Thus, inhibition of drug efflux by erlotinib and gefitinib selectively exacerbated (in a synergistic or additive fashion) the cytotoxic response of KG-1 cells to chemotherapeutic agents that are normally extruded by ABC transporters (e.g., doxorubicin and etoposide). Erlotinib limited drug export via ABC transporters by multiple mechanisms, including the downregulation of surface-exposed pumps and the modulation of their ATPase activity. The effects of erlotinib on drug efflux and its chemosensitization profile persisted in patient-derived CD34⁺ cells, suggesting that erlotinib might be particularly efficient in antagonizing leukemic (stem cell) subpopulations, irrespective of whether they exhibit or not increased drug efflux via ABC transporters.

Introduction

Primary or secondary treatment failure in acute myeloid leukemia (AML) is frequently due to the persistence of leukemic progenitor cells that are inherently resistant against classical chemotherapeutic agents.^{1,2} This cell population is often characterized by an increased efflux of chemotherapeutics via transmembrane pumps of the ATP-binding cassette (ABC) family, including ABCB1, also known as P-glycoprotein (P-gp), ABCC1, also known as multidrug resistance-associated protein 1 (MRP1), and ABCG2, also known as breast cancer resistance protein (BCRP).^{3,4} In line with this notion, increased expression and functionality of ABC transporters on the surface of AML cells have been correlated with poor prognosis due to chemoresistance.^{5,6} Notably, ABC transporters are frequently overexpressed in AML cases that exhibit additional risk factors, such as an unfavorable karyotype

or derivation from a myelodysplastic syndrome (MDS).⁷ In addition, recent clinical studies indicate that the activity of ABC transporters constitutes an independent prognostic factor even upon consideration of *NPM* and *FLT3* mutational status.⁸

Based on these premises, it has been hypothesized that the inhibition of ABC transporters might restore sensitivity to chemotherapy in high-risk AML patients and allow for leukemia eradication.⁹ Nevertheless, most clinical studies performed so far have failed to demonstrate a significant increase in survival when ABC pump (in particular P-gp) inhibitors were combined with classical chemotherapeutic regimens,⁵ possibly due to the elevated degree of redundancy of ABC transporters.¹⁰

The small molecules erlotinib and gefitinib were originally developed to inhibit the kinase activity of the epidermal growth factor receptor (EGFR) in solid neoplasms,^{11,12} yet they exhibit in vitro and in vivo efficacy against MDS and AML, even though

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blasts generally do not express EGFR.¹³⁻¹⁵ In particular, two case-report studies have demonstrated that erlotinib alone can induce durable and complete remissions in AML patients.^{16,17} Two studies (including one from our group) that rigorously assess the tolerance and therapeutic potential of erlotinib in MDS and AML patients are currently registered at www.clinicaltrials.gov (NCT00977548, NCT01085838), and formal evidence for an antileukemic efficacy of erlotinib, at least in a subset of patients, is emerging.¹⁸ However, the molecular mechanisms whereby erlotinib as a standalone agent exerts clinical antileukemic activity have not yet been precisely elucidated.

Recently, we have demonstrated that erlotinib synergizes with the DNA methyltransferase inhibitor 5-azacytidine (azacytidine), but not with its functional analog decitabine, in the killing of AML-derived cell lines and patient blasts *in vitro*.¹⁹ Such a synergistic antileukemic effect stemmed from a pharmacokinetic mechanism involving an increased intracellular accumulation of azacytidine.¹⁹ Of note, EGFR-targeting agents have previously been shown to interfere with the activity of P-gp²⁰⁻²² and BCRP^{21,22} in multidrug-resistant (MDR) leukemic cells. However, we were unable to find any study addressing the possibility that erlotinib and gefitinib might influence the accumulation (and hence the cytotoxicity) of antileukemic drugs in cells that do not overexpress ABC transporters.

Therefore, we decided to determine if and how erlotinib and gefitinib antagonize drug extrusion via ABC pumps in KG-1 AML cells, which are known to express limited amounts of P-gp,²³ BCRP²⁴ and MDR-1.²⁵ Here, we demonstrate that EGFR-targeting chemicals inhibit the efflux of specific chemotherapeutics from leukemic cells even when these do not overexpress ABC transporters, hence exacerbating drug cytotoxicity in a synergistic or additive fashion. Such a chemosensitization effect persisted in patient-derived blasts, suggesting that the combination of erlotinib and conventional regimens may provide therapeutic benefits to MDS or AML patients.

Results

EGFR-targeting agents enhance the chemosensitivity of AML cells. In order to evaluate whether erlotinib and gefitinib might chemosensitize AML-derived cell lines to conventional chemotherapeutics, we monitored the death of KG-1 cells upon exposure to drugs that are commonly used in the treatment of AML, notably cytarabine, doxorubicin or etoposide,²⁶ alone or in combination with EGFR inhibitors. In line with previous reports,^{14,15} erlotinib and gefitinib *per se* induced a moderate increase in the percentage of KG-1 cells exhibiting mitochondrial transmembrane potential ($\Delta\psi_m$) dissipation (a sign of imminent cell death) and plasma membrane breakdown (Fig. 1A and B), as assessed by cytofluorometry upon co-staining with the $\Delta\psi_m$ -sensitive cationic fluorochrome DiOC₆(3) and the vital dye propidium iodide (PI).²⁷⁻²⁹ While failing to affect the response of KG-1 cells to cytarabine, erlotinib and gefitinib potentiated etoposide-induced (and to a lesser degree, doxorubicin-induced) cell death (Fig. 1A and B). This chemosensitizing effect was apparent as early as 24 h after the administration of drugs, increased over

time and was most pronounced 72 h after stimulation (Fig. 1A and B). To delineate this phenomenon more precisely, we administered KG-1 cells with 1 μ M etoposide combined with a broader range of erlotinib and gefitinib concentrations (1, 5 and 10 μ M). We found that, while EGFR-targeting agents at doses ≤ 1 μ M fail to sensitize KG-1 cells to etoposide cytotoxicity, chemosensitization starts at 5 μ M and is well-pronounced at 10 μ M (Fig. 1C). We then analyzed the interaction between EGFR inhibitors and etoposide by employing a colorimetric test of cell viability/proliferation and by calculating the combination index (CI) following Harbron's method.³⁰ The combination of etoposide and erlotinib (Fig. 1D), but not gefitinib (Fig. S1), was associated with a CI < 1 over a wide range of concentrations, demonstrating that these two agents *de facto* synergize in the killing of KG-1 cells.

Erlotinib increases the intracellular level of chemotherapeutic agents by limiting drug efflux via ATP-binding cassette (ABC) transporters. To understand whether the chemosensitizing potential of erlotinib and gefitinib is linked to decreased drug extrusion also in KG-1 cells, which do not overexpress ABC pumps,²³⁻²⁵ we quantified intracellular etoposide levels by high performance liquid chromatography and mass spectrometry (Fig. 2A). KG-1 cells co-treated with erlotinib accumulated twice the amount of etoposide than KG-1 cells treated with etoposide alone (Fig. 2A). Similarly, the intracellular levels of doxorubicin were increased in the presence of erlotinib (data not shown). To corroborate the finding that erlotinib increases the intracellular level of chemotherapeutic agents exported by ABC transporters, we took advantage of the fact that two clinically employed drugs, doxorubicin and mitoxantrone, are autofluorescent and emit at 575 and 670 nm, respectively. This allows for the straightforward assessment of the intracellular drug levels by cytofluorometry.⁴ Emission profiles confirmed that EGFR-targeting agents increase the quantity of doxorubicin and mitoxantrone that is retained intracellularly (Fig. 2B–E). In line with cytotoxicity data (Fig. 1C and D), this effect of erlotinib and gefitinib in KG-1 cells was negligible at 1, evident at 5 and well-pronounced at 10 μ M.

We then sought to identify the specific ABC transporter(s) that is/are inhibited by erlotinib to reduce drug efflux. To this aim, we took advantage of a panel of biochemical inhibitors, namely cyclosporine A (CsA, a P-gp-specific agent), KO-143 (which inhibits efflux via BCRP), MK-571 (an inhibitor of MRPs) and verapamil (a mixed P-gp/BCRP inhibitor).^{5,31} None of the monospecific inhibitors (*i.e.*, CsA, KO-143 and MK-571) used as a single agent was significantly superior to erlotinib and gefitinib (employed at the optimal concentration of 15 μ M) in limiting the efflux of doxorubicin (Fig. 2B and C). Similarly, CsA, KO-143 and MK-571 all failed to significantly increase the intracellular levels of mitoxantrone in conditions in which erlotinib and gefitinib alone efficiently did so (Fig. 2D and E). The mixed P-gp/BCRP inhibitor verapamil was more efficient than CsA, KO-143 and MK-571 in inhibiting the extrusion of both doxorubicin (Fig. 2B and C) and mitoxantrone (Fig. 2D and E). Noteworthy, the combined use of CsA, KO-143, MK-571 and verapamil resulted in an approximately 2-fold increase in the

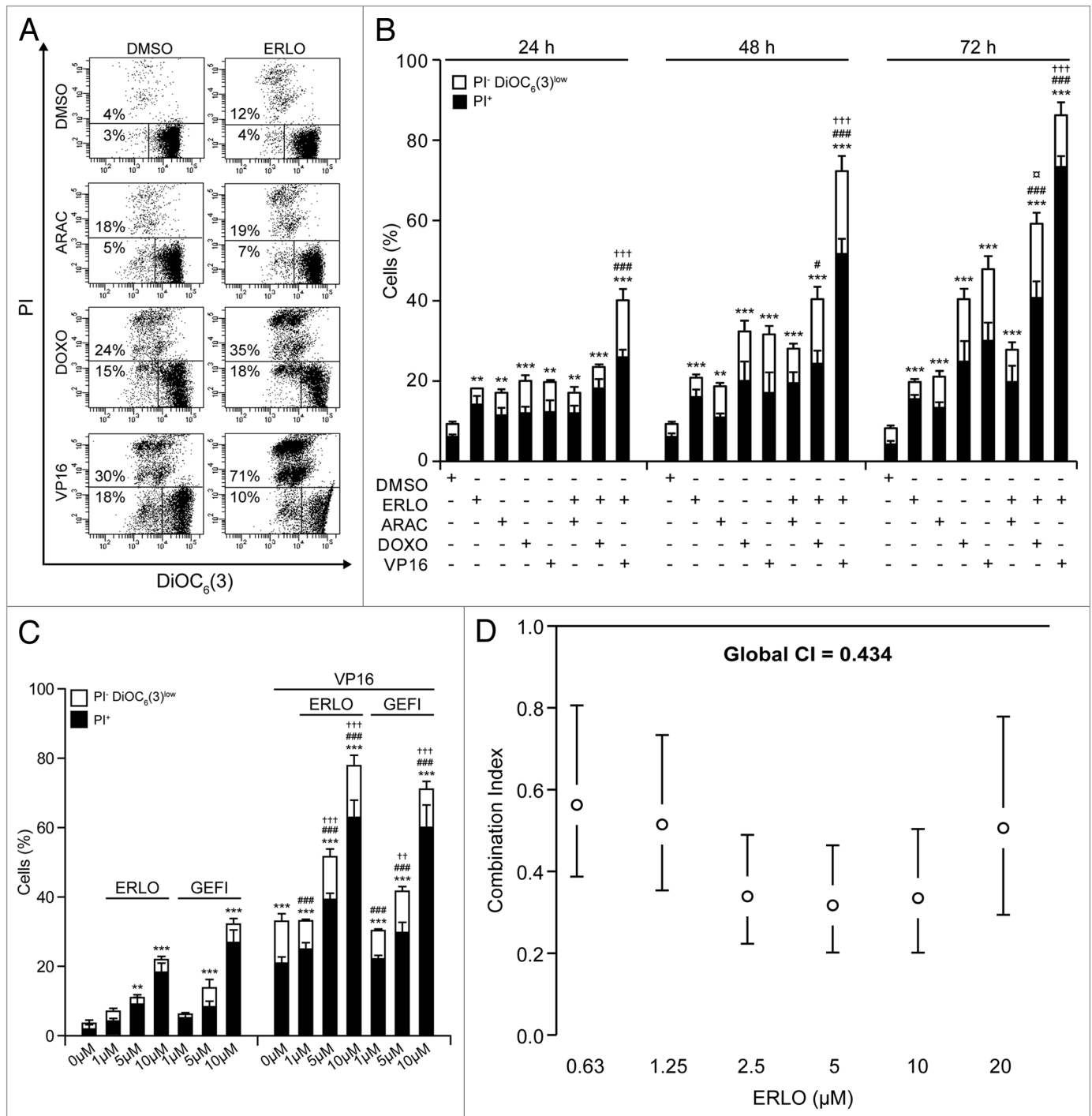


Figure 1. Erlotinib and gefitinib increase the sensitivity of KG-1 cells to etoposide. **(A–C)** KG-1 cells were left untreated or incubated with the indicated concentration of erlotinib (ERLO, 10 μ M where not specified), gefitinib (GEFI, 10 μ M where not specified), etoposide (VP16, 1 μ M where not specified), 0.1 μ M cytarabine (ARAC), 0.1 μ M doxorubicin (DOXO), alone or in combination, for the indicated time (72 h where not specified), followed by DiOC₆(3)/PI co-staining for the determination of apoptosis-related parameters. In **(A)**, representative dot plots are reported, and the percentage of cells exhibiting mitochondrial transmembrane potential dissipation [PI⁻ DiOC₆(3)^{low}] or the breakdown of plasma membrane (PI⁺) is indicated. **(B and C)** depict quantitative data (means \pm SEM; $n = 3$). ** $p < 0.01$, *** $p < 0.001$ (ANOVA plus Bonferroni's post-hoc test), as compared with DMSO-treated cells; * $p < 0.05$, *** $p < 0.001$ (ANOVA plus Bonferroni's post-hoc test), as compared with cells treated with EGFR inhibitors alone; ** $p < 0.01$, *** $p < 0.001$ (ANOVA plus Bonferroni's post-hoc test), as compared with cells treated with VP16 alone; * $p < 0.05$ (ANOVA plus Bonferroni's post-hoc test), as compared with cells treated with DOXO alone. **(D)** KG-1 cells were left untreated or incubated with the indicated concentrations of ERLO alone or combined with 0.1–2 μ M VP16 for 48 h, followed by colorimetric determinations of cell viability/proliferation. Combination index (CI) values as calculated—according to the Harbron's method—for the indicated concentrations of ERLO as well as for the global data set are reported (means \pm 95% confidence interval).

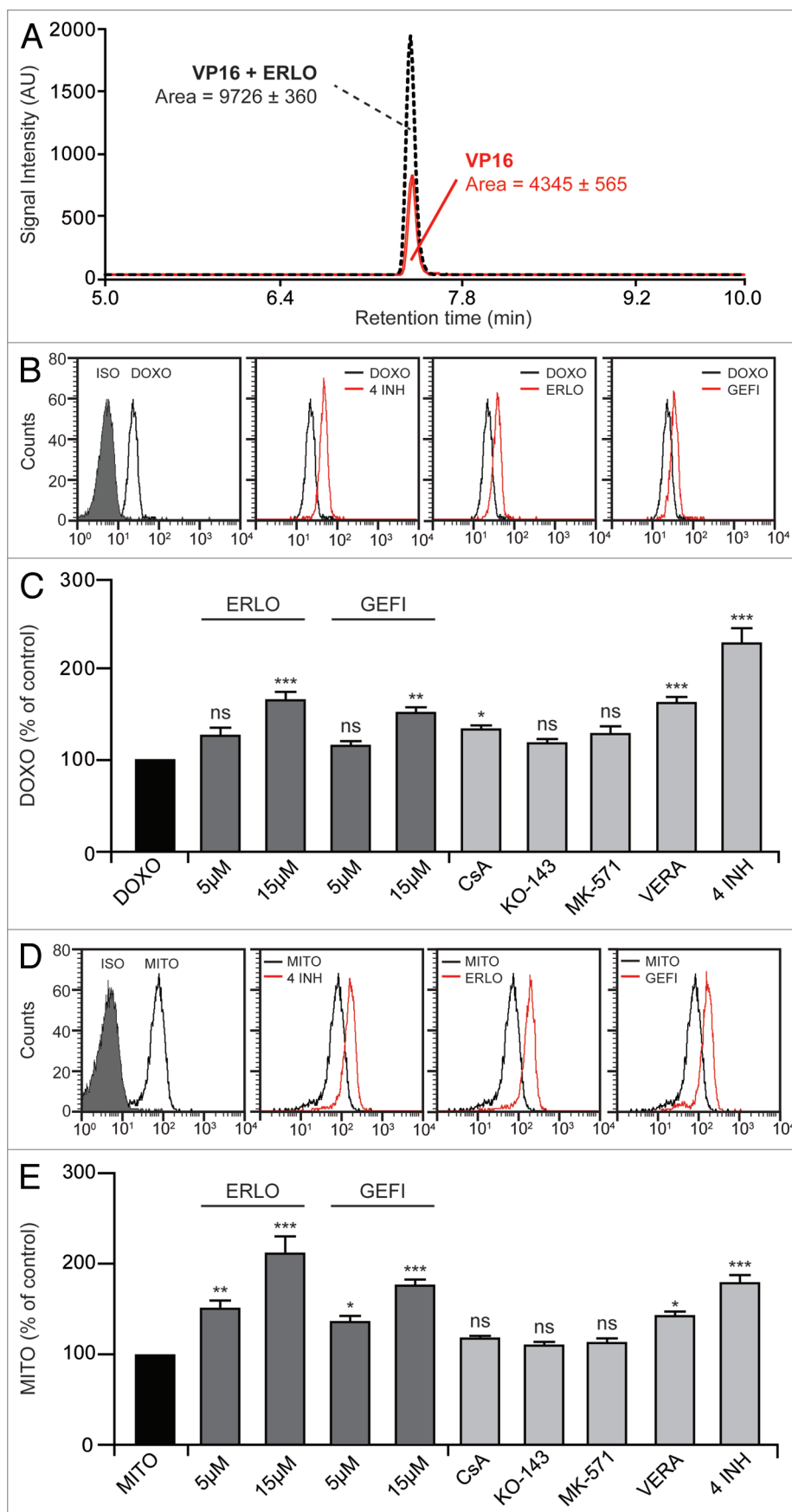


Figure 2. Erlotinib exacerbates the intracellular retention of etoposide, doxorubicin and mitoxantrone. (A–E) KG-1 cells were left untreated or incubated with the indicated concentration of erlotinib (ERLO, 10 μ M where not specified), gefitinib (GEFI, 10 μ M where not specified), 0.1 μ M doxorubicin (DOXO), 0.3 μ M mitoxantrone (MITO), 1 μ M etoposide (VP16), 1 μ M cyclosporine A (CsA), 0.5 μ M KO-143, 10 μ M MK-571, 10 μ M verapamil (VERA), alone or combined as indicated (4 INH = CsA + KO-143 + MK-571 + VERA) for 1, 12 or 24 h, then subjected to mass spectrometry (A) or cytofluorometry (B–E) for the quantification of intracellular VP16 (A), DOXO (B and C) or MITO (D and E), respectively. In (A), the area under the curve of the VP16 signal (an indicator of the intracellular concentration of VP16) is indicated. (B and D) report representative fluorescence distributions for DOXO and MITO, respectively. In (C and E), quantitative data are reported (fluorescence normalized to that of cells treated with DOXO and MITO only, means \pm SEM; $n = 3$). * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, ns = non-significant (ANOVA plus Dunnett's test), as compared with cells loaded with DOXO (C) or MITO (E) only. ISO, isotype control.

intracellular concentration of doxorubicin and mitoxantrone, an effect that was comparable to that of optimal concentrations of erlotinib (Fig. 2B–E). These observations suggest that blocking one single type of ABC transporter cannot increase the intracellular level of its substrate, most likely due to a high degree of functional redundancy.¹⁰ The fact that erlotinib and gefitinib per se promoted the intracellular accumulation of doxorubicin and mitoxantrone (in the same conditions in which P-gp, MRP and BCRP inhibitors almost invariably failed to do so) strongly suggests that EGFR inhibitors modulate drug efflux through their simultaneous action on several efflux pumps.

To determine which ABC transporters are inhibited by erlotinib, we employed fluorescent compounds that are preferentially extruded by cells via one specific ABC pump. In particular, we employed 3,3'-diethyloxycarbocyanine iodide [DiOC₂(3)] and rhodamine 123 (Rh123) to evaluate the activity of P-gp, calcein and 5-(and-6)-carboxy-2',7' dicloro-fluorescein diacetate (CDCFDA) to follow MRP-mediated efflux, and Hoechst 33342 to monitor the functionality of BCRP.^{32–34} Incubation of KG-1 cells with

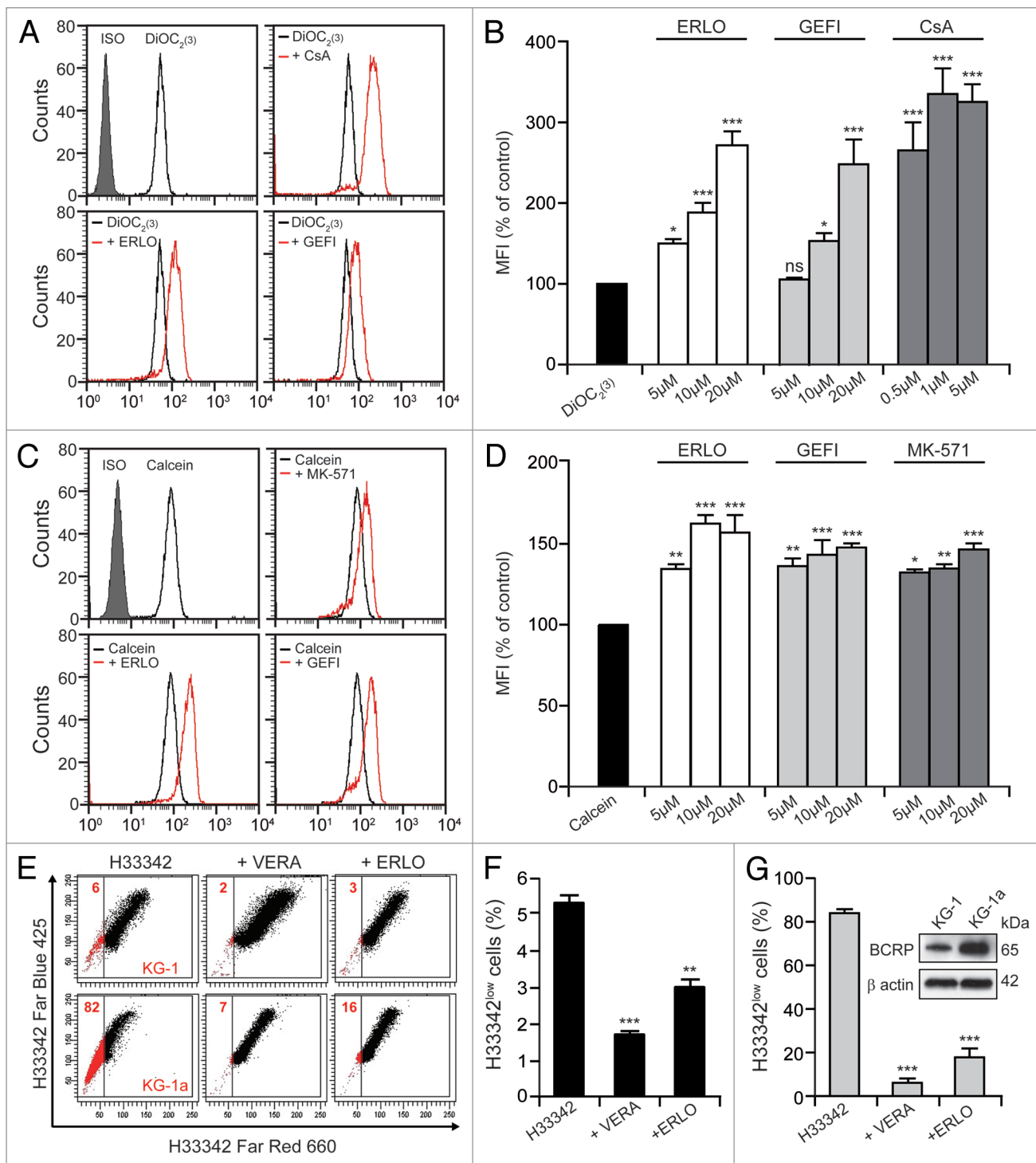


Figure 3. Erlotinib inhibits drug efflux via P-gp, BCRP and MRPs. (A–D) KG-1 cells were loaded with 20 nM DiOC₂(3) (A and B) and 10 nM calcein (C and D) alone or together with the indicated concentration of erlotinib (ERLO, 10 μM where not specified), cyclosporine A (CsA, 1 μM where not specified), or 10 μM MK-571 for 2 h, then subjected to cytofluorimetry for the determination of DiOC₂(3) and calcein fluorescence. Representative profiles and quantitative data (fluorescence normalized to that of cells treated with DiOC₂(3) and calcein only, means ± SEM; n = 3) are reported. *p < 0.05, **p < 0.01, ***p < 0.001, ns = non-significant (ANOVA plus Dunnett's test), as compared with cells loaded with DiOC₂(3) (B) or calcein (D) only. (E–G) Alternatively, KG-1 (E and F) and KG-1a (E and G) cells were treated with 1.5 μM Hoechst 33342 (H33342) alone or in combination with 50 μM VERA or 10 μM ERLO for 4 h, followed by the quantification of H33342 fluorescence by cytofluorimetry. (E) depicts representative dot plots and the percentage of cells that do not accumulate H33342 (H33342^{low} cells) is indicated. In (F and G), quantitative data are reported (means ± SEM; n = 3). **p < 0.01, ***p < 0.001 (ANOVA plus Dunnett's test), as compared with cells loaded with H33342 only (F and G). In the inset in (G), untreated KG-1 and KG-1a cells were subjected to immunoblotting for the estimation of breast cancer resistance protein (BCRP) expression levels. The amount of β actin was monitored to ensure equal loading of lanes.

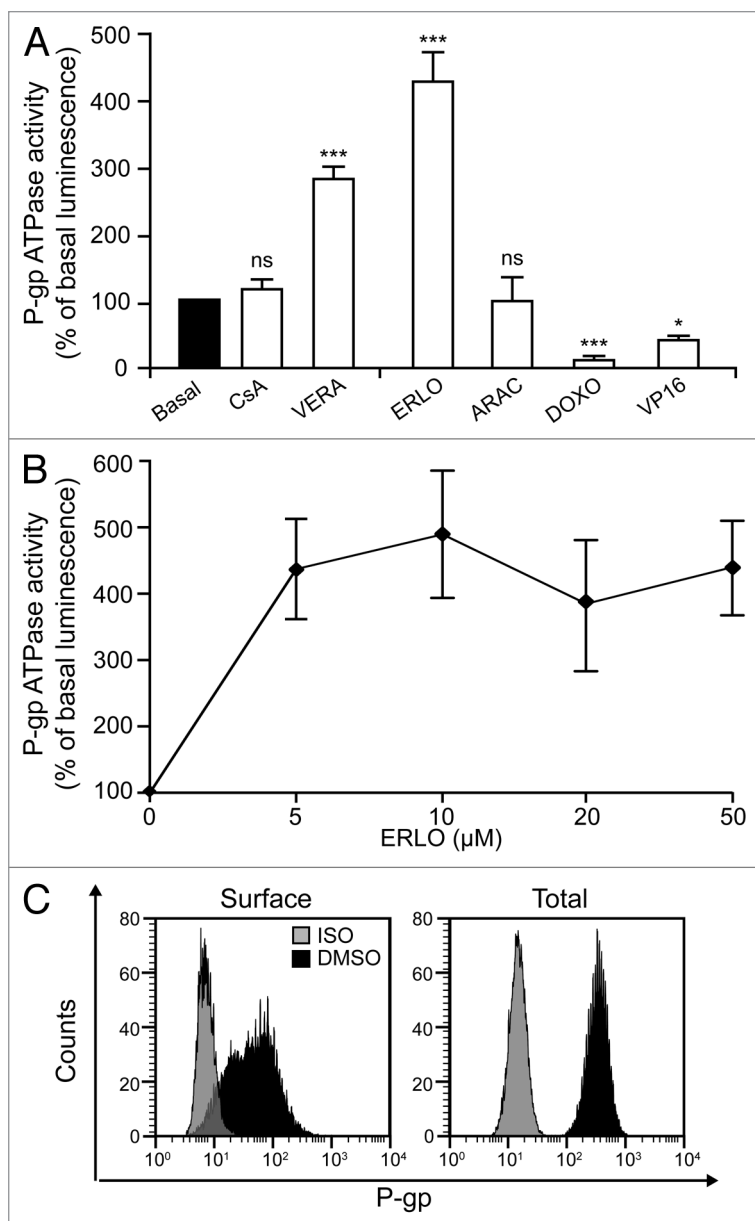


Figure 4A–C. Erlotinib augments P-gp ATPase activity and inhibits its exposure on the cell surface. **(A and B)** ATPase activity of recombinant P-gp incubated with the indicated concentration of erlotinib (ERLO, E, 10 μ M where not specified), 1 μ M cyclosporine A (CsA), 10 μ M verapamil (VERA), 0.1 μ M cytarabine (ARAC, A), 0.1 μ M doxorubicin (DOXO) or 1 μ M etoposide (VP16). Results are normalized to basal ATPase activity and reported as means \pm SEM (n = 3). *p < 0.05, ***p < 0.001, ns = non-significant (ANOVA plus Dunnett's test), as compared with basal ATPase activity **(A)**. **(C–F)** KG-1 cells were kept in control conditions (DMSO) or incubated with the indicated concentration of ERLO (10 μ M where not specified), CsA (1 μ M where not specified), VERA (10 μ M where not specified), 0.1 μ M ARAC, 0.1 μ M DOXO or 1 μ M VP16, alone or combined as indicated, then subjected to cytofluorimetry **(C–F)** or immunoblotting **(D)** for the quantification of surface-exposed or total P-glycoprotein (P-gp). **(C)** reports representative profiles of surface-exposed and total P-gp in control conditions.

erlotinib or gefitinib increased the intracellular accumulation of DiOC₂(3) (Fig. 3A and B) and Rh123 (data not shown) in a dose-dependent manner, yet this retention effect was not as pronounced as that exerted by CsA (which reportedly blocks P-gp)

(Fig. 3A and B). Conversely, erlotinib and gefitinib were capable of increasing the intracellular accumulation of calcein (Fig. 3C and D) and CDCFDA (data not shown) with a potency that was comparable to that of the MRP inhibitor MK-571 (Fig. 3C and D). Only a minor fraction (~6%) of KG-1 cells failed to accumulate Hoechst 33342 (Fig. 3E). This "side population" is characterized by increased drug efflux and reportedly exhibits other cell stem-like features.⁴ The dimension of the side population was reduced upon incubation of KG1 cells with verapamil or erlotinib (Fig. 3E and F). Strikingly, the proportion of KG-1a cells, a more undifferentiated variant of the KG-1 cell line,³⁵ that efficiently extruded Hoechst 33342 was close to 80% (Fig. 3G). Again, this side population was reduced to < 20% when KG-1a cells were co-incubated with either verapamil or erlotinib (Fig. 3G and H). Immunoblotting assessments revealed that the protein levels of BCRP are significantly higher in KG-1a than in KG-1 cells (Fig. 3H), in line with the fact that KG-1a cells contain a much larger side population than KG-1 cells (Fig. 3E–H).

Altogether, these data demonstrate that erlotinib and gefitinib reduce drug efflux by inhibiting more than one single type of ABC transporter.

Erlotinib increases P-gp ATPase activity and reduces P-gp exposure on the surface of KG-1 cells. Next, we investigated if and how erlotinib affects the ATPase activity of P-gp. As a positive control for these determinations, we took advantage of the fact that verapamil is a known substrate of P-gp and an activator of its enzymatic activity.³⁶ Chemiluminescence-based tests confirmed that verapamil can increase the P-gp-mediated consumption of ATP by approximately 3-fold and revealed that erlotinib is even more efficient than verapamil in stimulating the ATPase activity of P-gp (Fig. 4A). A similar increase could not be observed with other chemicals that we employed in this study including cytarabine, CsA, doxorubicin and etoposide (both of which actually inhibited the ATPase activity of P-gp) (Fig. 4A). Intriguingly, erlotinib stimulated ATP consumption by P-gp with the same efficacy across doses ranging from 5–50 μ M (Fig. 4B), an activity profile reminiscent of saturable enzymatic kinetics that did not correlate with the dose-dependent effects mediated by erlotinib on the accumulation of doxorubicin (Fig. 2C) and of the P-gp substrate DiOC₂(3) (Fig. 3B).

We therefore reasoned that erlotinib might also alter P-gp expression and/or its exposure on the cell surface. To test this hypothesis, we cytofluorometrically quantified P-gp levels on intact and permeabilized KG-1 cells that had previously been exposed to erlotinib or cytarabine (as a representative compound that fails to affect P-gp ATPase activity in vitro), alone or in combination (Fig. 4C and D). We found that erlotinib inhibits the exposure of P-gp on the cell surface while having no effects on total P-gp levels (Fig. 4C and D). Immunoblotting-based determinations

confirmed the inability of erlotinib to modulate the total levels of P-gp (Fig. 4D). Intriguingly, cytarabine was capable of doubling the amount of P-gp exposed at the cell surface (while leaving the total cellular amount of P-gp unaffected), an effect that was entirely blocked in the presence of erlotinib (Fig. 4D). Of note, while neither CsA, MK-571 nor verapamil (at various concentrations) significantly reduced the amount of surface-exposed P-gp, erlotinib did so in a dose-dependent fashion (Fig. 4E and F).

Thus, erlotinib appears to inhibit drug efflux by P-gp by direct altering its enzymatic activity as well as by inhibiting its exposure at the cell surface.

Erlotinib inhibits aberrantly activated SRC kinases and mTOR-conveyed signals. The cell surface exposure and functionality of ABC transporters are modulated by multiple distinct signaling pathways.³⁷ To elucidate whether erlotinib might have an effect on the most prominent of these signaling cascades, we determined the activation status of SRC kinases and mTOR by cytofluorometry and immunoblotting. Short-term (1 h) incubation with erlotinib was sufficient to reduce the constitutive activation of SRC kinases (as monitored by the phosphorylation of tyrosine 416, Y416) in KG-1 cells (Fig. 5A and B). The SRC-specific inhibitor PP2 (but neither the phosphoinositide-3-kinase inhibitor LY294002 nor the mTOR inhibitor rapamycin)^{38–42} decreased the phosphorylation of Y416 to 20% of baseline levels (Fig. 5A and B). As determined by both immunoblotting and cytofluorometry with a phosphoepitope-specific antibody, erlotinib also reduced the phosphorylation of the mTOR substrate p70^{S6K} on threonine 389 (T389), as did PP2, LY294002 and rapamycin (Fig. 5C and D).

To determine whether the inhibition of these signaling pathways translates in reduced MRP-mediated or P-gp-mediated efflux in our model, we evaluated the activity of PP2, LY294002 and rapamycin on the intracellular accumulation of calcein and DiOC₂(3), respectively. None of these pathway-specific inhibitors could increase the retention of calcein and DiOC₂(3) (Fig. S2A–D), even though all diminished (to a variable extent) the exposure of P-gp on the cell surface (Fig. S2E and F). These data indicate that the pathway-specific inhibition of SRC- and mTOR-conveyed signals fails to recapitulate the effects of erlotinib on the cell surface exposure and functionality of P-gp.

Erlotinib increases chemosensitivity and concomitantly inhibits ABC transporters in patient-derived CD34⁺ AML cells. Next, we investigated how erlotinib affects chemosensitivity and drug efflux in primary, patient-derived CD34⁺ mononuclear cells (Table 1). As exemplified in Figure 6A, erlotinib decreased surface-exposed P-gp in CD34⁺ cells from distinct patients, irrespective of the baseline levels of ecto-P-gp. In line with the effects observed in KG-1 cells (Fig. 4A and B), cytarabine increased the amount of P-gp exposed at the surface of patient-derived CD34⁺ cells, an effect that was entirely prevented by erlotinib (Fig. 6A). Cytofluorometric determinations

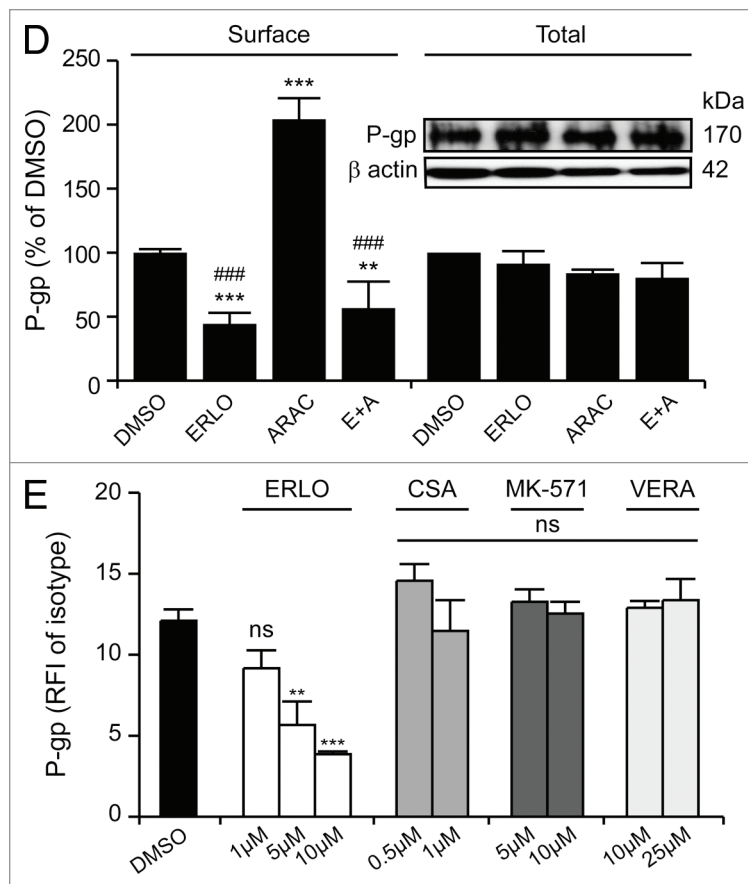


Figure 4D and E. In (D and E), quantitative data are reported (P-gp fluorescence normalized to that of DMSO-treated cells or that of the isotype control, means ± SEM, n = 3). **p < 0.01, ***p < 0.001, ns = non-significant (ANOVA plus Bonferroni's post-hoc test or Dunnett's test, as appropriate), as compared with DMSO-treated cells stained for surface-exposed P-gp (D and E); ***p < 0.001 (ANOVA plus Bonferroni's post-hoc test), as compared with cells treated with ARAC only and stained for surface-exposed or P-gp (D). In the inset in (D), immunoblotting data are depicted. β actin levels were assessed to ensure equal loading of lanes. ISO, isotype control; RFI, relative fluorescence intensity.

confirmed that both etoposide and erlotinib can induce the death of malignant CD34⁺ cells (Fig. 6B and C), although cells from distinct patients exhibited different degrees of sensitivity. When erlotinib and etoposide were combined, we observed variable extents of chemosensitization (Fig. 6C), which persisted even in cells that failed to die in response to either compound alone, such as those derived from patient #5 (Fig. 6B). Erlotinib exacerbated the intracellular accumulation of DiOC₂(3), calcein and mitoxantrone in primary CD34⁺ AML cells to variable extents, as did the P-gp inhibitor CsA, the MRP inhibitor MK-571 and the combined administration of CsA, KO-143 and MK-571 (Fig. 6D). These results indicate that erlotinib is able to hinder drug efflux via multiple ABC transporters in patient-derived cells. Whereas erlotinib increased the percentage of CD34⁺ cells succumbing from etoposide, neither CsA nor verapamil had similar effects (Fig. S3), underscoring a chemosensitizing potential of erlotinib that may exceed that provided by the inhibition of ABC transporters.

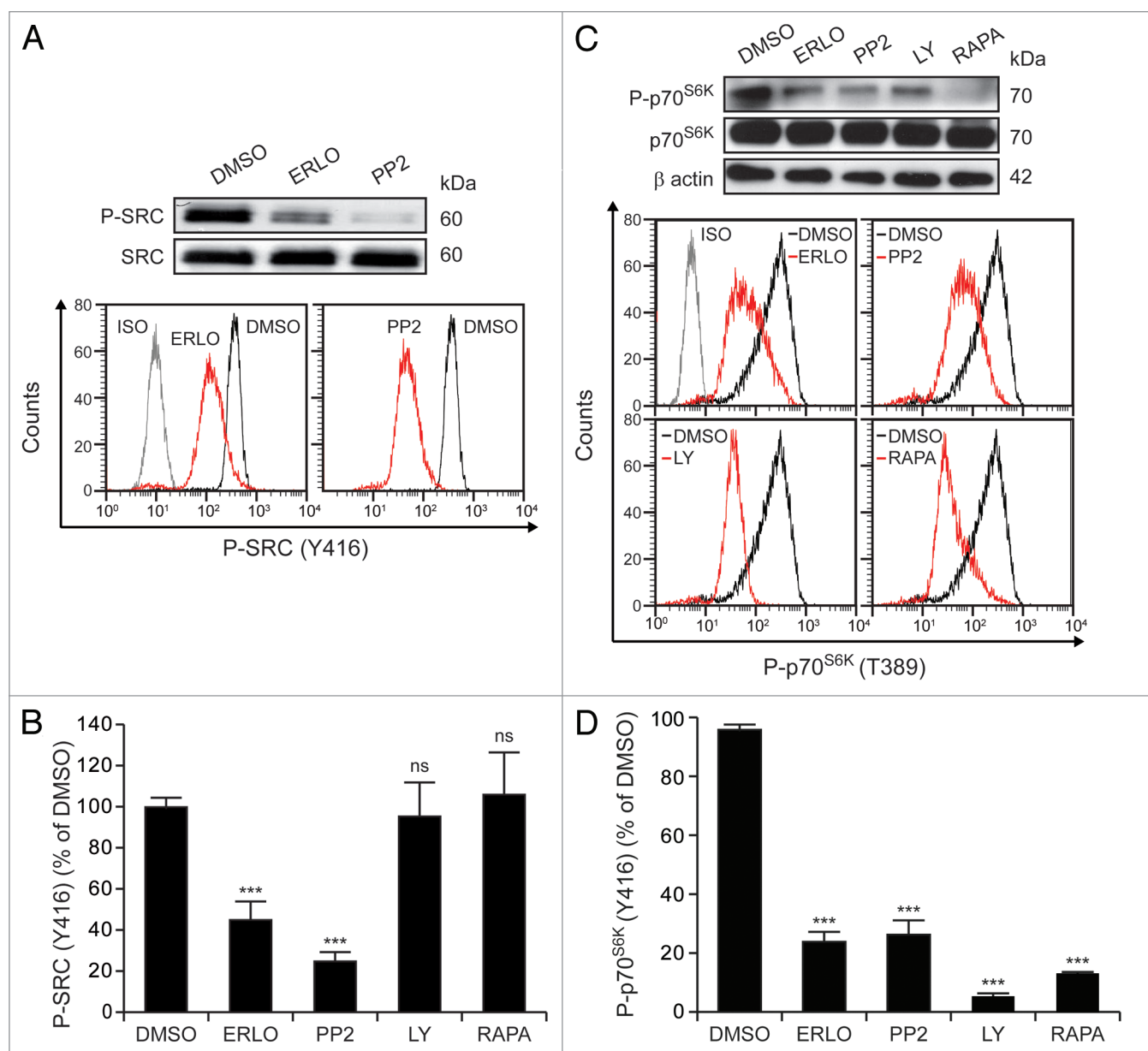


Figure 5. Erlotinib inhibits SRC family kinases and mTOR. KG-1 cells were kept in control conditions (DMSO) or incubated with 10 μ M erlotinib (ERLO), 10 μ M PP2, 10 μ M LY294002 (LY) or 10 nM rapamycin (RAPA) for 1 h, then subjected to immunoblotting (**A and C**) or cytofluorometry (**A–D**) for the determination of the phosphorylation status of SRC kinases (on Y416) (**A and B**) or p70^{S6K} (**C and D**). (**A and C**) depict immunoblotting results and representative cytofluorometric profiles. β actin levels were monitored to ensure equal lane loading. In (**B and D**), quantitative cytofluorometric data are reported (phosphorylation levels normalized to those of DMSO-treated cells, means \pm SEM, $n = 3$). *** $p < 0.001$, ns = non-significant (ANOVA plus Dunnett's test), as compared with DMSO-treated cells (**B and D**). ISO, isotype control.

Discussion

Small molecules inhibiting the EGFR exert antileukemic effects, even though AML cells reportedly do not express the EGFR.^{13,14,17,19} Thus, EGFR inhibitors employed as standalone agents have been reported to induce complete and durable remission in two leukemia patients that received them owing to a concomitant solid malignancy.^{16,17} Moreover, we and others have characterized the antileukemic potential of erlotinib and gefitinib in preclinical models, both as standalone interventions and combined with

distinct chemotherapeutics.^{14,15,19,43,44} In particular, we have recently demonstrated that erlotinib and gefitinib synergize with the DNA methyltransferase inhibitor azacitidine in the killing of AML cells in vitro, owing to the fact that both these EGFR inhibitors increase the intracellular accumulation of azacitidine.¹⁹

Extending previous observations,^{20–22} here we show that erlotinib and gefitinib inhibit different types of ABC transporters, including P-gp, BCRP and MRPs, hence facilitating the intracellular accumulation of selected chemotherapeutics and exacerbating their cytotoxicity, either in a synergistic or in an

Table 1. Patients' characteristics

Patient	Sex	Age	Diagnosis	Karyotype
1	F	62	tAML	48, XX, +8,+8
2	F	60	AML4eo	46, XX, inv(16)
3	F	52	AML2, post-MPD	44, XX, del20q, -7,-21
4	M	43	AML1	46, XY
5	F	60	tAML	46, XX
6	M	70	AML1	Complex
7	M	64	tAML	45, XY,-7, t(2;4)(p23;q31), t(3;3)(q21;q26)
8	M	80	AML1	46, XY
9	M	59	AML, post-MDS	46, XX, t(11;14)
10	F	66	AML0	Complex

AML, acute myeloid leukemia; del, deletion; inv, inversion; MDS, myelodysplastic syndrome; MPD, myeloproliferative disease; tAML, therapy-related AML.

additive fashion. Importantly, at odds with previous studies on this topic, our results were generated in AML cells that express low levels of ABC transporters²³⁻²⁵ and in patient-derived CD34⁺ cells. Inhibition of ABC pumps by erlotinib and gefitinib was at least partially caused by their ability to inhibit SRC- and mTOR-mediated signaling, which, in turn, limited the exposure of P-gp on the cell surface. In addition, erlotinib was found to mimic verapamil (which is a known inhibitor and substrate of P-gp)³⁶ in its ability to augment the ATPase activity of P-gp. As erlotinib is known to be efficiently extruded by P-gp,^{45,46} our results indicate that erlotinib operates as a competitive inhibitor of P-gp-mediated efflux.

Increased expression and functionality of ABC transporters are common features of leukemic stem cells and often underlie chemoresistance.^{3,4,34} Thus, the persistence of AML cells expressing abnormally high levels of ABC transporters is associated with dismal prognosis.^{5,47} ABC pumps constitute an attractive therapeutic target, as revealed by multiple preclinical and clinical studies showing that inhibitors of drug efflux sensitize AML cells to chemotherapy.^{5,9} Nevertheless, the clinical use of first-generation P-gp inhibitors (e.g., CsA, verapamil) has been limited by unacceptable side effects.⁴⁸ Second-generation agents (e.g., PSC833) are better tolerated but interact with other anticancer drugs and are poorly selective for P-gp.^{48,49} Third-generation inhibitors (e.g., zosuquidar) featuring improved specificity and potency have been developed, but their long-term safety remains to be established.⁵⁰

One attractive feature of erlotinib—whose short- and long-term toxicity profile is well-studied—is its capacity to simultaneously inhibit different ABC transporters via multiple mechanisms, hence promoting the intracellular accumulation of specific chemotherapeutics. As shown here by us and previously by others,²⁰⁻²² this greatly exacerbates cytotoxicity, even in cells that do not overexpress ABC pumps and in patient-derived CD34⁺ cells. Our results do not explain why erlotinib as a standalone agent has been associated with complete and durable regressions in AML patients.^{16,17} However, based on our data, it is tempting to speculate that the combination of erlotinib and conventional chemotherapeutics

may be particularly beneficial for AML patients, irrespective of whether or not their blasts exhibit increased drug efflux via ABC transporters. This hypothesis will have to be formally addressed by carefully designed clinical studies.

Materials and Methods

Patient samples and CD34⁺ cell selection. Patient samples were studied after obtaining informed consent according to the Declaration of Helsinki. AML and MDS were diagnosed by morphological examination of peripheral blood and bone marrow biopsies, according to the WHO and FAB classifications. Mononuclear cells (MNC) from the peripheral blood or bone marrow were isolated using a Ficoll-Paque PLUS density gradient (Amersham Biosciences). To obtain CD34⁺ cells from MNCs, a positive selection with the MiniMacs system (Miltenyi Biotec, Bergisch Gladbach) was performed according to the manufacturer's instructions.

Chemical, cell lines and culture conditions. Unless otherwise indicated, chemicals were purchased from Sigma-Aldrich, while media and supplements for cell culture were purchased from Gibco-Invitrogen. Erlotinib hydrochloride and gefitinib were purchased by LC Laboratories, KO-143 and rapamycin from Tocris Biosciences (Ellisville, USA), verapamil hydrochloride, (4-amino-5-(4-chlorophenyl)-7-(dimethylethyl)pyrazolo[3,4- α]pyrimidine (PP2) and 2-morpholin-4-yl-8-phenylchromen-4-one (LY294002) from Calbiochem. All chemicals were dissolved in DMSO and stored at -20°C.

Patient-derived CD34⁺ cells were cultured (37°C, 5% CO₂) in Iscove modified Dulbecco medium (IMDM) supplemented with 1% L-glutamine, 100 units/mL penicillin-streptomycin, 10 ng/mL interleukin-3 (Peprotech), 10 ng/mL interleukin-6 (Peprotech), 50 ng/mL thrombopoietin (Peprotech), 100 ng/mL FLT3-ligand (Miltenyi Biotec), 50 ng/mL stem cell factor (SCF) (Miltenyi Biotec) and 20% BIT 9500 serum substitute (200 μ g/mL transferrin, 10 μ g/mL insulin, 2% bovine serum albumin; from StemCell Technologies). KG-1 and KG-1a cells were purchased from the Deutsche Sammlung von Mikroorganismen und Zellkulturen (DSMZ) and cultured in RPMI 1640 medium supplemented with 20% fetal calf serum (FCS). Cells in the logarithmic phase of growth seeded at a density of 1.5×10^5 /mL were used for all experiments.

Cytofluorometric assessment of apoptosis. Apoptosis-related parameters were quantified as previously reported.^{27,51,52} Briefly, live cells were co-stained with 20 nM 3,3'-dihexyloxacarbocyanine iodide [DiOC₆(3), from Molecular Probes-Invitrogen], which measures mitochondrial transmembrane potential ($\Delta\psi_m$), and 1 μ g/mL propidium iodide (PI), which identifies cells with ruptured plasma membrane. Cytofluorometric acquisitions were performed on a FACSCalibur or a FACScan cytofluorometer (BD Biosciences) equipped with a 70 μ m nozzle. First-line statistical analysis was performed by means of the CellQuest™ or DIVA 6.1 software (BD Biosciences) upon gating on the events characterized by normal forward scatter and side scatter parameters.

Colorimetric assays. In vitro assessments of the antileukemic effects of etoposide and EGFR inhibitors (alone or in combination)

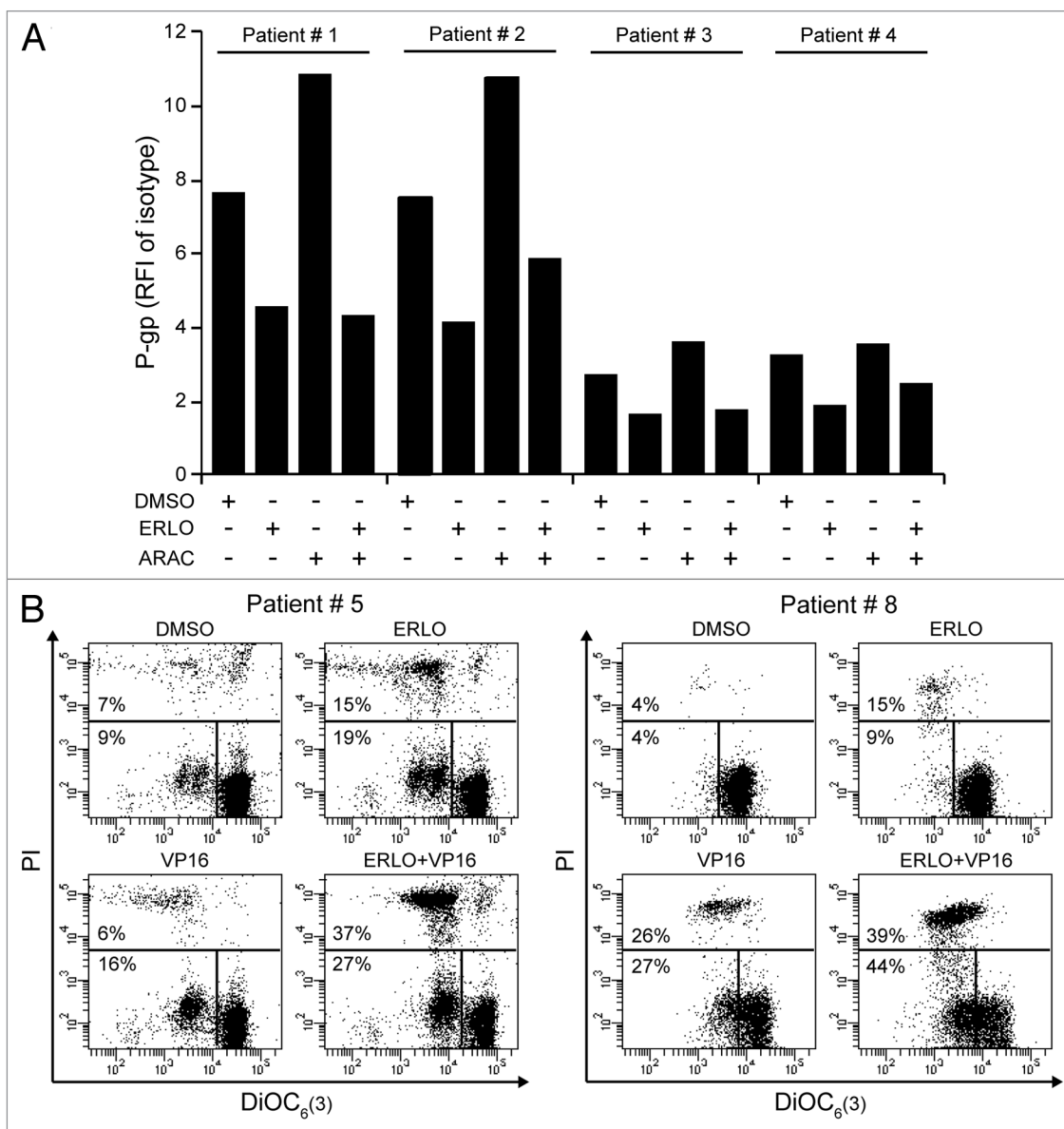


Figure 6A and B. Erlotinib inhibits ABC transporters and enhances chemosensitivity in patient-derived CD34⁺ cells. **(A–C)** Patient-derived CD34⁺ cells were maintained in control conditions (DMSO) or incubated with 5 μ M erlotinib (ERLO), 0.1 μ M cytarabine (ARAC), or 0.5 μ M etoposide (VP16), alone or in combination, for 48 h, then subjected to cytofluorometry for the quantification of P-glycoprotein (P-gp) exposure on the cell surface **(A)** or cell death-associated parameters **(B and C)**. **(A)** depicts representative P-gp exposure results ($n = 1$). In **(B and C)**, representative dot plots and quantitative data are provided, respectively. The percentage of cells exhibiting mitochondrial transmembrane potential dissipation (PI⁺ DiOC₆(3)^{low}) or the breakdown of plasma membrane (PI⁺) is indicated. Representative results are reported ($n = 1$). **(D)** Alternatively, CD34⁺ cells were loaded with 20 nM DiOC₂(3), 10 nM calcein or 0.3 μ M mitoxantrone (MITO), alone or combined with 10 μ M ERLO, 1 μ M cyclosporine A (CsA), 10 μ M MK-571 or 1 μ M CsA + 0.5 μ M KO-143 + 10 μ M MK-571 (3 INH) for 2 or 24 h, followed by the cytofluorometric quantification of DiOC₂(3) and calcein retention or MITO fluorescence, respectively **(D)**. Data are reported upon normalization to control conditions, as indicated ($n = 1$). MFI, mean fluorescence intensity.

were performed by means of a colorimetric 3-(4,5-dimethyl-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium (MTS)-based assay (CellTiter 96[®] AQ_{ueous} one, from Promega) according to the manufacturer's recommendations. Absorbance at 490 nm was measured by means of a FLUOstar OPTIMA microplate reader (BMG Labtech) and readings were normalized to DMSO-treated cells included in the same test.

Quantification of P-gp expression by cytofluorometry. Cells were harvested, washed once with PBS and pre-incubated with

5 μ L/sample FcR blocking reagent (Miltenyi Biotec), to block unwanted binding of antibodies to Fc-receptor expressing cells. Thereafter, cells were co-stained with a phycoerythrin (PE)-conjugated antibody specific for ABCB1 (P-gp) (clone UIC2, Beckman Coulter) and 1 μ g/mL PI for 20 min at +4°C (under protection from light), followed by cytofluorometric acquisition. Isotypic PE-conjugated IgG₁ from mouse (Beckman Coulter) was used to determine threshold parameters. Dead (PI⁺) cells were excluded from the analysis.

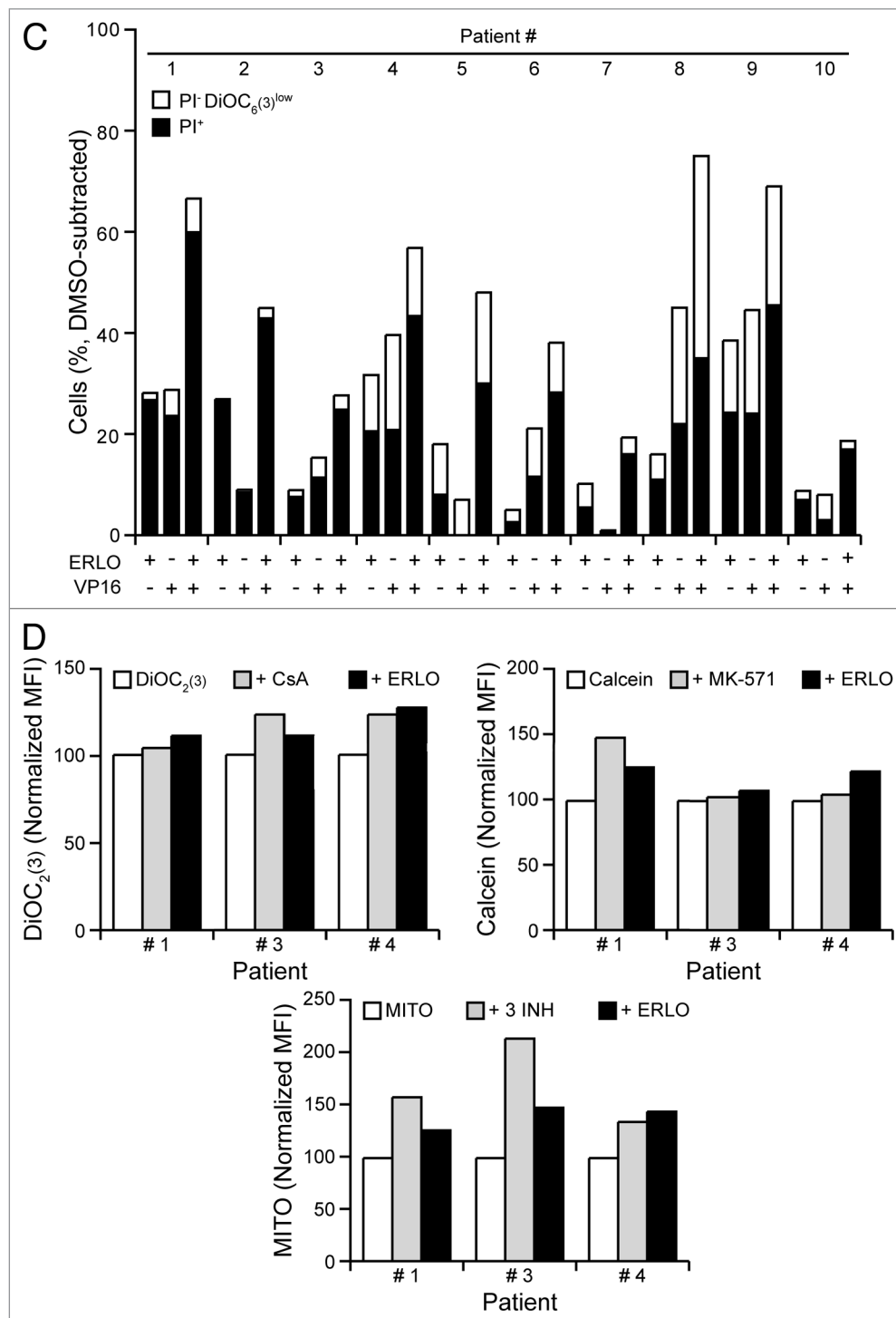


Figure 6C and D. For figure legend, see page 4088.

Immunoblotting. For immunoblotting determinations, cells were lysed on ice in a buffer containing 1% NP40, 20 mM HEPES pH 7.9, 10 mM KCl, 1 mM EDTA, 10% glycerol, 1 mM orthovanadate, 1 mM PMSF, 1 mM dithiothreitol and 10 μ g/mL aprotinin, leupeptin, pepstatin followed by centrifugation (20 min, 14,000 rpm) and collection of supernatants. Total protein extracts were then separated on pre-cast

4–12% polyacrylamide gradient gels (Invitrogen) and analyzed following standard immunoblotting procedures^{53–55} by using primary antibodies specific for ABCB1/P-gp (Santa Cruz Biotechnology), ABCG2/BCRP (Cell Signaling Technology), β actin (Chemicon), phospho-SRC family (Y416) (Cell Signaling Technology), total SRC (Upstate Biotechnology), phospho-p70^{S6K} (T389) (Cell Signaling Technology) and total p70^{S6K} (Cell

Signaling Technology). Primary antibodies were revealed with appropriate horseradish peroxidase-conjugated secondary antibodies (Southern Biotech) and the chemiluminescence detection SuperSignal West Pico® reagent (Thermo Scientific-Pierce).

Efflux studies. Drug efflux via P-gp and MRPs was monitored as previously described,³¹ with slight modifications. Briefly, cells were pre-incubated with erlotinib (1–15 μ M), gefitinib (1–15 μ M), cyclosporine A (0.5–1 μ M), KO-143 (1 μ M), MK-571 (10 μ M) or verapamil (10–50 μ M) for 15 min to block pump activity and then loaded with fluorescent P-gp and MRP substrates for 1 h. Cells were then washed twice with ice-cold PBS and maintained in the absence or in the presence of the abovementioned pump inhibitors for 1–6 h (to allow for substrate efflux), followed by the cytofluorometric quantification of fluorescence. P-gp efflux studies were performed with 0.5 μ g/mL rhodamine 123 (rh123, from Molecular Probes-Invitrogen) or 20 nM 3,3'-diethyloxycarbocyanine iodide [DiOC₂(3)]. MRP activity was evaluated with 2.5 μ M 5-(and-6)-carboxy-2',7'-diclorofluorescein diacetate (CDCFDA) (Molecular Probes-Invitrogen) or 10 nM calcein AM (Molecular Probes-Invitrogen). Retention values were normalized to the fluorescence of DiOC₂(3)- and calcein-labeled cells cultured in the absence of pump inhibitors.

BCRP-mediated efflux (which characterizes the so-called side population) was monitored as previously described,³¹ with slight modifications. Briefly, KG-1 and KG-1a cells were pre-incubated with 1.5 μ M Hoechst 33342 (Molecular Probes-Invitrogen) for 90 min at 37°C with occasional agitation, followed by the administration of 50 μ M verapamil, 0.5 μ M KO-143 or 10 μ M erlotinib for additional 90 min. Thereafter, cells were washed twice with ice-cold PBS, resuspended in PBS supplemented with 1 μ g/mL PI and analyzed on a FACS Vantage cytofluorometer (BD Biosciences). Hoechst 33342 was excited at 350 nm, and emission was measured at 2 wavelengths (Hoechst blue and Hoechst red) using a 424 bandpass (BP) 44 and a 660 BP 20 optical filter. A 640 nm long-pass filter was used to separate the emission wavelengths.

Evaluation of P-gp ATPase activity. P-gp ATPase activity was evaluated with the luminescence-based Pgp-Glo™ Assay System (Promega) according to the manufacturer's recommendations. Basal P-gp ATPase activity was determined as the difference between light emission in the presence of sodium orthovanadate (Na₃VO₄), a selective inhibitor of P-gp, and light emission in control conditions: $\Delta RLU_{\text{basal}} = RLU_{(\text{Na}_3\text{VO}_4)} - RLU_{(\text{untreated})}$. Similarly, P-gp ATPase activity in the presence of pump inhibitors was determined as follows: $\Delta RLU_{(\text{inhibitor})} = RLU_{(\text{Na}_3\text{VO}_4)} - RLU_{(\text{inhibitor})}$. For analysis, the ATPase activity in the presence of inhibitors was normalized to the basal activity.

Intracellular phosphoprotein analysis. The phosphorylation of status of p70^{S6K} and SRC was assessed by cytofluorometry, following standard procedures. Briefly, cells were fixed with 1.5% paraformaldehyde for 10 min at room temperature, washed twice with PBS and permeabilized in 1 mL ice-cold 95% methanol for 10 min. Cells were then rehydrated with 5% FCS (v/v in HBSS) for 30 min at 4°C, washed with PBS and incubated for 1 h at 4°C in the dark with primary antibodies specific for phospho-p70^{S6K} (Thr389) or phospho-SRC family (Y416) (both from Cell

Signaling Technologies). Rabbit unrelated IgGs (Santa Cruz Biotechnology) were used as isotype controls. Samples stained with the anti-phospho-SRC family antibody were washed twice with PBS and incubated for further 30 min in the dark with secondary goat anti-rabbit AlexaFluor 488 conjugates (Molecular Probes, Invitrogen). Fluorescence was monitored on a Gallios cytofluorometer (Beckman Coulter) and analysis was performed on the Kaluza software (Beckman Coulter). Results were normalized to the mean fluorescence intensity (MFI) of isotype controls and then to the phosphorylation levels displayed by vehicle (DMSO)-treated cells.

Quantification of intracellular etoposide. Etoposide was quantified on a Rapid Resolution Liquid Chromatography (RRLC) 1200SL system coupled to a 6410 Triple Quadripole (QQQ) mass spectrometer (both from Agilent Technologies).⁵⁶ To this aim, 10⁶ cells were lysed in 1 mL 80% ice-cold methanol (v/v in water), vortexed for 1 min and incubated on ice for 30 min. Lysates were then centrifuged at 13,000 G for 10 min at 4°C, supernatants were dried in a SpeedVac drier (Thermo Scientific-Pierce) and resuspended in 200 μ L of 2% methanol (v/v in water) and directly injected into the HPLC-QQQ system. RRLC was performed on 150 \times 2.1 mm, 3.5 μ M Eclipse Plus columns (Agilent Technologies) with water containing 0.1% formic acid and 7.5 mM ammonium formate in channel A and methanol in channel B, in gradient mode: t = 0 min, 5% B; t = 10 min, 95% B; t = 12 min; 95% B; re-equilibration time = 6 min. Mass spectrometry was performed in positive electrospray ionization mode at + 4kV on the QQQ system operating in MRM mode. MRM transitions were optimized with direct infusions of etoposide, and two transitions were recorded. The parent ion (MH⁺ 589.2) at a fragmentor voltage of 180 V gave two daughter ions: one of 229.1 Da at a collision energy of 8 V and one of 185.1 Da at a collision energy of 44 V.

Data treatment and statistical analyses. Unless otherwise specified, experiments were performed in triplicate parallel instances and independently repeated at least twice. Data were analyzed with Excel (Microsoft) and Prism5 (GraphPad Software Inc.). Statistical significance was invariably assessed by means of ANOVA followed by Dunnett's or Bonferroni's post-hoc test. p values < 0.05 were considered statistically significant.

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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E.L., M.Se., S.T., M.Sc., C.B. and C.L. performed experiments. E.L. and L.G. wrote the paper and prepared the figures. S.D.B. and P.F. collected clinical samples and provided clinical data. E.L., P.F. and G.K. designed the experimental approach, analyzed the results and wrote the paper.

Supplemental materials may be found here:
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